FORM D RECEIVED AUG - 9 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM D
NOTICE OF SALE OF SECURITIES
PURSUANT TO REGULATION D,
SECTION 4(6), AND/OR
UNIFORM LIMITED OFFERING EXEMPTION

_/9	095	<u> 5 レ</u>						
OMB APPROVAL								
OMB Nui	mber:	32	35-0076					
			30, 2008					
Estimate	d average	burden						
hours pe	r form		16.00					
	SEC US	E ONLY						
Prefix			Serial					
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	DATE RE	CEIVED						
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11110----

Name of Offering	(☐ check if this is an am	nendment and name h	nas changed, and ir	idicate change.)		
v	ated Interests of AXA Ro					
Filing Under (Check t	oox(es) that apply):	☐ Rule 504	☐ Rule 505	□ Rule 506	☐ Section 4(6)	ULOE
Type of Filing:	☑ New Filing	Amendment	_	_		
		A. BASIC	IDENTIFICAT	ION DATA		
Enter the inform	ation requested about the	issuer				
Name of Issuer	check if this is an am	endment and name h	as changed, and in	dicate change.		07074522
AXA Rosenberg Inte	ernational All Cap Institu	tional Fund, LLC				
Address of Executive	Offices		(Number and Stree	et, City, State, Zip Co	de) Telephone Nu	umber (Including Area Code)
c/o AXA Rosenberg	Investment Managemen	t LLC, 4 Orinda Way	, Building E, Orino	la, CA 94563	(925) 253-331	l 1
Address of Principal (Offices		(Number and Stree	et, City, State, Zip Co	de) Telephone Nu	ımber (Including Area Code)
(if different from Exec	cutive Offices)					PROCESSED
Brief Description of B	usiness: private inve	estment company			7	
					<u> </u>	AUG 1 5 2007
Type of Business Org	ganization					THOses -
ַ	orporation	☐ limited p	artnership, already	formed		DecifyTHOMSON
	business trust	☐ limited p	artnership, to be fo	med	Limited Liability C	company ANCIA
			Month	Year		
Actual or Estimated D	Date of Incorporation or Org	ganization:	0 8	0	4 ⊠ Act	tual Estimated
Jurisdiction of Incorpo	oration or Organization: (E	nter two-letter U.S. P	ostal Service Abbre	eviation for State;		
		CN	l for Canada; FN fo	r other foreign jurisdi	ction) D	E

GENERAL INSTRUCTIONS

Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the appendix need not be filed with the SEC.

Filing Fee: There is no federal filing fee.

State:

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

ATTENTION

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

A. BASIC IDENTIFICATION DATA											
 Enter the information requested for the following: Each promoter of the issuer, if the issuer has been organized within the past five years; Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. 											
Check Box(es) that Apply:	☐ Promoter	☐ Beneficial Owner	☐ Executive Officer	☐ Director	Managing Member						
Full Name (Last name first,	f individual):	AXA Rosenberg Inves	tment Management LLC								
Business or Residence Add	ress (Number and	Street, City, State, Zip Code): 4 Orinda Way, Build	ding E, Orinda, C	A 94563						
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner		☐ Director ☐ (General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Reid, Kenneth									
Business or Residence Add CA 94563	ress (Number and	Street, City, State, Zip Code): c/o AXA Rosenberg	Investment Mai	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner		Director	☐ General and/or Managing Partner						
Full Name (Last name first, i	f individual):	Ricks, William		_ .							
Business or Residence Add CA 94563	ress (Number and	Street, City, State, Zip Code): c/o AXA Rosenberg	Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	☐ Executive Officer	☐ Director	☐ General and/or Managing Partner						
Full Name (Last name first, i	f individual): Trans	sit Employees Retirement	Plan								
Business or Residence Add CA 94563	ress (Number and	Street, City, State, Zip Code): c/o AXA Rosenberg	Investment Ma	nagement LLC, 4 Orinda Way, Orinda,						
Check Box(es) that Apply:	Promoter	☑ Beneficial Owner	☐ Executive Officer	Director	☐ General and/or Managing Partner						
Full Name (Last name first,	f individual):										
Business or Residence Add	ress (Number and	Street, City, State, Zip Code):		:						
Full Name (Last name first,	f individual):										
Business or Residence Add	ress (Number and	Street, City, State, Zip Code):		-						
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	General and/or Managing Partner						
Full Name (Last name first,	if individual):										
Business or Residence Add	ress (Number and	Street, City, State, Zip Code	·):								
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	Executive Officer	Director	☐ General and/or Managing Partner						
Full Name (Last name first,	if individual):										
Business or Residence Add	ress (Number and	Street, City, State, Zip Code):	 -							
Check Box(es) that Apply:	Promoter	☐ Beneficial Owner	☐ Executive Officer	Director	☐ General and/or Managing Partner						

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

	B. INFORMATION ABOUT OFFERING													
B. INFORMATION ABOUT OFFERING 1. Has the issuer sold, or does the issuer intend to sell, to non-accredited investors in this offering?														
1.	Answer also in Appendix, Column 2, if filing under ULOE.													
2.	· · · · · · · · · · · · · · · · · · ·													
	_												-	
				•	,	-							⊠ Yes	∐ No
	any commission or similar remuneration for solicitation of purchasers in connection with sales of securities in the offering. If a person to be listed is an associated person or agent of a broker or dealer registered with the SEC and/or with a state or states, list the name of the broker or dealer. If more than five (5) persons to be listed are associated persons of such a broker or dealer, you may set forth the information for that broker or dealer only.													
Full	Name	(Last na	me first, if	individual)									
Busi	ness c	or Reside	ence Addre	ess (Numb	er and Str	eet, City, S	State, Zip (Code)						
Nam														
State	States in Which Person Listed Has Solicited or Intends to Solicit Purchasers (Check "All States" or check individual States)													
												□ [HI]	[ID]	☐ All States
									□ [MA]	[MI]			[MO]	
	/T] [□ [NE]	□ [NV]	□ [NH]	[NJ]	□ [NM]	□ [NY]	☐ [NC]	[ND]	□ [OH]	[OK]	☐ [OR]	☐ [PA]	
	RI) [□ (SC)	□ [SD]	[NT]	[XT]	[UT]	□ [VT]	[VA]	□ [WA]	[WV]	□ [WI]	[YW]	□ [PR]	
Full Name (Last name first, if individual)														
Business or Residence Address (Number and Street, City, State, Zip Code)														
Nam	e of A	ssociate	d Broker o	or Dealer										
State	-					tends to S								All States
□ [/	\L] [□ [AK]	☐ [AZ]	☐ [AR]	☐ [CA]	□ [CO]		□ (DE)	☐ [DC]	[FL]	☐ [GA]	[HI]	☐ [ID]	
□ [I	L] (□ [IN]	[IA]	☐ [KS]	☐ [KY]	☐ [LA]		☐ [MD]	☐ [MA]	☐ [MI]	☐ [MN]	☐ [MS]	☐ [MO]	
			□ [NV]		[NJ]				[ND]			□ (OR) —		
	RI] (□ [SC]		[TN]	□ (TX)	☐ (UT)	□ (VT)	□ [VA] 	□ [WA]	□ [WV]	[WI]	[WY]	[PR]	
Full	Name	(Last na	me first, if	individual)									
Busi	ness c	or Reside	ence Addr	ess (Numb	er and Sti	eet, City, S	State, Zip	Code)						
Nam	e of A	ssociate	d Broker o	or Dealer			·							
State						tends to S				•••••				☐ All States
		□ [AK]	☐ (AZ)						D[DC]		☐ [GA]	☐ [HI]	[ID]	
		[NI] _	□ (IA) —						☐ [MA] —		☐ [MN]		[MO]	
		[NE]	□ (NV)		_ [[NJ]		□ [NY] —					[OR]		
□ [F	RI] [□ [SC]	☐ [SD]	□ [TN]	□ [TX]	[TU]	□ [VT]	□ [VA]	□ [WA]	□ [WV]	□ [WI]	□ [WY]	□ [PR]	

(Use blank sheet, or copy and use additional copies of this sheet, as necessary)

C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AND USE OF PROCEEDS

١.	Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.				
	Type of Security		Aggregate Offering Price		Amount Already Sold
	Debt	\$	0	<u>\$</u>	0
	Equity	\$	0	<u>\$</u>	0
	☐ Common ☐ Preferred				
	Convertible Securities (including warrants)	\$	0	\$	0
	Partnership Interests	\$	0	\$	0_
	Other (Specify) U.S Dollar-Denominated Interests)	\$	1,000,000,000	<u>\$</u>	54,812,000
	Total	<u>\$</u>	1,000,000,000	\$	54,812,000
	Answer also in Appendix, Column 3, if filing under ULOE				
2.	Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."				
			Number Investors		Aggregate Dollar Amount of Purchases
	Accredited Investors		2	<u>\$</u>	54,812,000
	Non-accredited Investors		0	\$	0
	Total (for filings under Rule 504 only)		0	<u>\$</u>	0
	Answer also in Appendix, Column 4, if filing under ULOE				
3.	If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C–Question 1.				
	Type of Offering		Types of Security		Dollar Amount Sold
	Rule 505		N/A	<u>\$</u>	N/A_
	Regulation A		N/A	<u>\$</u>	N/A
	Rule 504		N/A	<u>\$</u>	N/A
	Total		N/A	<u>\$</u>	N/A
4.	a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.				
	Transfer Agent's Fees			<u>\$</u>	0
	Printing and Engraving Costs	•••••	🗆	\$_	0
	Legal Fees	••••	🖾	\$	10,000
	Accounting Fees	•••••		\$	0
	Engineering Fees			\$	0
	Sales Commissions (specify finders' fees separately)		🗆	\$	0
	Other Expenses (identify)		🗆	5	0
	Total		🗵	\$	10,000

Other (specify): S	b. Enter the difference between the aggregate offerin Question 1 and total expenses furnished in response to	Part C-Question 4.a. This differen	nce is the			<u>.</u>	\$	999,990,000
Purchase of real estate	5 Indicate below the amount of the adjusted gross proceed used for each of the purposes shown. If the amount for estimate and check the box to the left of the estimate.	eds to the issuer used or proposed r any purpose is not known, furnish The total of the payments listed mu	to be an st equal	Ó Dir	fficers, ectors &			
Purchase, rental or leasing and installation of machinery and equipment	Salaries and fees			\$	0	_ □	\$	0
Construction or leasing of plant buildings and facilities	Purchase of real estate			\$	0	_ □	\$	0
Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger	Purchase, rental or leasing and installation of m	achinery and equipment		\$	0		\$	0
offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger	Construction or leasing of plant buildings and fa-	cilities		\$	0	_ □	\$	0
Pursuant to a merger								
Working capital				\$	0	_ 🗆	<u>\$</u>	0
Other (specify):	Repayment of indebtedness			\$	0	_ □	\$	0
Column Totals	Working capital			\$	0	_ 🛛	\$	999,990,000
Column Totals	Other (specify):			\$	0	_ 🗆	\$	0
Total payments Listed (column totals added) D. FEDERAL SIGNATURE This issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnish by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502. Issuer (Print or Type) AXA Rosenberg International All Cap Institutional Fund, LLC Name of Signer (Print or Type) Title of Signer (Print or Type)				\$	0	_ □	\$. 0
This issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnish by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502. Issuer (Print or Type) AXA Rosenberg International All Cap Institutional Fund, LLC Name of Signer (Print or Type) Title of Signer (Print or Type)	Column Totals			\$	0	_ 🛛	<u>\$</u>	999,990,000
This issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnish by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502. Issuer (Print or Type) AXA Rosenberg International All Cap Institutional Fund, LLC Name of Signer (Print or Type) Title of Signer (Print or Type)	Total payments Listed (column totals added)				⊠ <u>\$</u>	99	99,990,	000
This issuer has duly caused this notice to be signed by the undersigned duly authorized person. If this notice is filed under Rule 505, the following signature constitutes an undertaking by the issuer to furnish to the U.S. Securities and Exchange Commission, upon written request of its staff, the information furnish by the issuer to any non-accredited investor pursuant to paragraph (b)(2) of Rule 502. Issuer (Print or Type) AXA Rosenberg International All Cap Institutional Fund, LLC Name of Signer (Print or Type) Title of Signer (Print or Type)		D. FEDERAL SIGNATUR	RE					
AXA Rosenberg International All Cap Institutional Fund, LLC Name of Signer (Print or Type) Title of Signer (Print or Type)	constitutes an undertaking by the issuer to furnish to the U.	undersigned duly authorized perso S. Securities and Exchange Comm	n. If this	notice is file on written r	d under Ru equest of it	lle 505, thes staff, the	e follov e inform	ving signature nation furnished
Fund, LLC Name of Signer (Print or Type) Title of Signer (Print or Type) Title of Signer (Print or Type)	Issuer (Print or Type)	Signature				Date		
Name of Signer (Print or Type) Title of Signer (Print or Type)		Kathlen Born	^ ~			7/30	107	
Management LLC, its Managing Member	Name of Signer (Print or Type) Kathleen Brown	Title of Signer (Print or Type) Deputy Chief Investment Office	er of AX		rg Investn			

ATTENTION

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

	<u> </u>	E. STATE SIGNATURE					
1.	Is any party described in 17 CFR 230.262 presently provisions of such rule?						
	See Appe	endix, Column 5, for state response.					
2.	The undersigned issuer hereby undertakes to furnic (17 CFR 239.500) at such times as required by sta	ish to any state administrator of any state in which this notice is filed a notice on Form D te law.					
3.	The undersigned issuer hereby undertakes to furnis	ish to the state administrators, upon written request, information furnished by the issuer to offerees.					
4.		is familiar with the conditions that must be satisfied to be entitled to is filed and understands that the issuer claiming the availability of the isfied.					
	er has read this notification and knows the contents ad person.	to be true and has duly caused this notice to be signed on its behal	f by the undersigned duly				
Issuer (F	rint or Type)	Signature	Date				
AXA Ros	senberg International All Cap Institutional LC	Kathleen Brown 7/30/07					
Name of	Signer (Print or Type)	Title of Signer (Print or Type)					
Kathlee	n Brown	Deputy Chief Investment Officer of AXA Rosenberg Investment					
		Management LLC, its Managing Member					

				AP	PENDIX					
				1			 .	5		
1	2	2	3		4					
	Intend to non-ad investors (Part B -	credited in State	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of in amount purct (Part C	vestor and nased in State – Item 2)		Disqualification under State ULOE (if yes, attach explanation of waiver granted) (Part E – Item 1)		
State	Yes	No	U.S Dollar- Denominated Interests	Number of Accredited Investors	Number of Accredited Number of Non-Accredited					
AL										
AK										
AZ										
AR										
CA										
со										
СТ										
DE										
DC		Х	\$1,000,000,000	1	\$50,812,000	0	\$0		Х	
FL				ļ						
GA										
н										
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IA					 					
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				APF	PENDIX						
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1		2	3			4		5	5		
	to non-a	to sell ccredited s in State - Item 1)	Type of security and aggregate offering price offered in state (Part C – Item 1)		Type of investor and Amount purchased in State (Part C – Item 2)						
State	Yes	No	U.S Dollar- Denominated Interests	Number of Accredited Investors	Number of Number of Accredited Non-Accredited						
NY											
NC											
ND											
ОН											
ок											
OR											
PA											
RI								ļ.			
sc		×	\$1,000,000,000	1	\$4,812,000	0	\$0	ļ	х		
SD											
TN											
TX						<u> </u>		ļ	<u> </u>		
UT											
VT	· · · · · · -										
VA								ļ			
WA											
WV											
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WY	-					 			 		
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